

*The term 'modern slavery' used in this survey is taken to include forced labour, slavery, human trafficking, servitude, bonded and child labour.

Human Rights Policy

Examples & guidance

- 1. Has your company made a public commitment to respect **human rights**? If so, please provide a link.
- 2. If yes, does the company's commitment address **modern slavery*** and does it apply throughout your supply chains? Please provide details.
- 3. Does the company have a responsible sourcing or **supplier code of conduct** that prohibits modern slavery? Please provide details.

Human Rights Due Diligence Process

Examples & guidance

- 4. Has your company mapped its tuna supply chains, in whole or part?
- 5. Does the company source tuna from the Pacific region?
- 6. Does your company have a **human rights due diligence** policy, process or procedure to identify, assess and manage human rights risks (actual or potential), including modern slavery, in its operations (and those of its subsidiaries) and supply chains?

If so, please provide details and describe the human rights due diligence process. **Key steps include**: (i) *identifying* and assessing human rights impacts; (ii) *integrating* and *acting on* findings; (iii) *tracking* the effectiveness of the company's response; and (iv) *communicating externally* about how the company is addressing its human rights impacts.

7. Has the company taken **practical action** to ensure that modern slavery does not occur in the company's (or its subsidiaries') operations and supply chains for tuna procurement from the Pacific? If so, please describe.

Examples might include:

- i) training staff and management, workers, suppliers or business partners about rights, risks, responsibilities and remediation;
- ii) engaging with NGOs, fishers/ their representatives (including unions) and policymakers;
- iii) cascading contractual clauses in supply agreements;
- iv) digital traceability of fish (across entire supply chain, or part only);
- v) prohibition on recruitment fees;
- vi) protective measures to protect against exploitation of *migrant* fishers;
- vii) prohibition on sourcing from suppliers that *transship* at sea, or use *flags of* convenience.
- viii) ensuring *freedom of association* and collective bargaining by fishers/ their representatives (including unions);
- ix) oversight of recruitment or labour hire entities; and
- x) independent supply chain *auditing*.

Please provide details.

8. If the company has taken steps to identify and address human rights risks, how does it (a) **prioritise** which risks to address first; and (b) assess and track the **effectiveness** of its actions and response?

Examples & guidance

- 9. Per the UN Guiding Principles on Business and Human Rights, does your company have a grievance/ complaints mechanism through which workers, including fishers in your supply chains, can raise concerns about human rights? If so, can they access this in their own language and in a way that allows grievances, or concerns, to be reported safely, in confidence and without intimidation? Have any human rights concerns connected with the Pacific tuna sector been reported via the company's complaints mechanism? Please provide details.
- 10. Do you have a corrective or **remediation plan** if instances of modern slavery are identified in your operations, or supply chains? Can you describe it?
- 11. How many **instances** of modern slavery has your company **identified** in 2018 in its own operations (including subsidiaries) or in its supply chains that relate to tuna procurement from the Pacific? Do you know where they occurred and can you describe them? How did the company respond to address the issue(s)?

Reporting

12. Does the company communicate, or **report**, externally on steps taken to address modern slavery? If yes, please provide details.

Other information

- 13. Has your company encountered **obstacles or challenges** in implementing its human rights commitments, taking practical action against modern slavery in tuna supply chains and/or in relation to any of the areas mentioned above? If so, please explain and provide details of any strategies to overcome them.
- 14. Does the company participate in any regional, or sectoral, **multi-stakeholder initiatives** that address modern slavery in fishing, eg:

- a) Seafood Task Force;
- b) Bali Process Government and Business Forum;
- c) Tuna 2020 Traceability Declaration; and
- d) other?

If yes, please provide details.

15. Please provide any **other information** about your company's policies and practices on human rights that may be relevant.

Thank you.

Further information and guidance:

- UN Guiding Principles on Business and Human Rights
- OECD Guidelines for Multinational Enterprises
- UK Modern Slavery Act (2015)
- Californian Transparency in Supply Chains Act
- ILO Forced Labour Convention, 1930 (No. 29)
- ILO Declaration on Fundamental Principles and Rights at Work
- ILO Work in Fishing Convention, 2007 (No. 188)
- Seafood Task Force
- Bali Process Government and Business Forum
- <u>Tuna 2020 Traceability Declaration</u>
- Mapping of Sustainable Development Goals to human rights instruments and

issues