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Business and Human Rights Resource Centre, Myanmar Survey

1. GE opened an office in Yangon in 2013 and now employs five employees. We have had a number of sales and lease transactions in Myanmar and we have engaged in a variety of philanthropic endeavors through the Ministry of Health. To this extent, GE can be reasonably viewed as operating in Myanmar. GE has not, however, made any “new investments” in Myanmar as that term is used under the “Burma Responsible Investment Reporting Requirements” issued by the U.S. State Department. Among the product and service sales GE has secured since re-entering Myanmar in 2013 are the following:
 - Sales and service to upgrade gas turbines and new power plants
 - Sales of Computed Tomography (CT), Magnetic Resonance Imaging (MRI) systems
 - Lease of Embraer/Boeing aircraft
 - Performance of various product-related advisory services
 - Donation of various philanthropic and capacity-building services and products.

The above product sales and service agreements have been largely concentrated in the vicinity of Yangon and Mandalay. For more information on GE’s approach to Myanmar, see: <http://www.gesustainability.com/where-we-work/myanmar/>.

2. GE has issued a Statement of Principles on Human Rights <http://www.gesustainability.com/enabling-progress/human-rights/>. The Statement’s associated Implementing Guidelines are intended to assure that GE operates globally in a manner that respects human rights. Due diligence, Know Your Customer, Sourcing Responsibility Guidelines and other policies and procedures (see list in answer to Question 5) are designed to avoid impairing human rights wherever GE operates. Other than a prohibition against knowingly dealing with the Myanmar military, we have no human rights policies per se that are solely focused on Myanmar. We have, however, funded an Oil & Gas Sector-wide Impact Assessment, conducted by the Institute on Human Rights and Business, to help GE and other business in the Oil & Gas sector, better understand human rights risks that attend operating in this Sector.

3. Those responsible for implementing procedures consistent with our Statement of Principles on Human Rights reside in various businesses, in several functions, and are spread over broad geographies.

At the Corporate level, responsibilities for human rights strategy rests with Vice President for International Law and Policy, who reports to the General Counsel, with input from other functional officers. The GE Foundation, through its President and the Company's Chief Diversity Officer, also plays a role in helping fund philanthropic programs focused on human rights and which align with Company and Foundation priorities.

At each GE business, a Human Rights champion has been designated by the respective General Counsels of the GE businesses in a structure chaired by the Sr. Counsel of Labor and Employment Law. Each business also has a Compliance Review Board that is responsible for activities such as assuring due diligence is conducted commensurate with the nature of the transaction's human rights risk, assuring adequate grievance processes exist, determining appropriate responses to known human rights impairments, assuring direct business partners comply with local laws and GE Supplier Expectations, training employees on programs such as "Eyes Always Open" and "Know Your Customer", "Open Reporting", etc.

From a regional perspective, CEOs in the 15 regions designated by GE's Global Growth Operation ("GGO") are engaged to help anticipate human rights issues in emerging markets where such rights are under unique pressures. Working through Country or Regional Boards, the CEOs of the GGO regions are to maintain human rights risk profiles for countries or regions with weak rule-of-law or governance structures, alert Senior Leadership to local human rights concerns, and monitor local developments that may give rise to human rights impairments. Also, compliance teams at both the regional and corporate levels participate in risk assessment and abatement processes, help with human rights training and education, and investigate and remediate human rights concerns.

4. See above.
5. GE undertakes to be a good and respectful member of each community in which it operates. The Company's most extensive and lasting local interface arises from 500 manufacturing facilities we operate in over 40 countries around the world. In these locations we undertake many forms of community outreach and provide many channels for members of the community to interact – through job creation, community service activities, charitable sponsorships and endeavors, open reporting systems for grievances, compliance programs, health and safety programs, etc. We engage in stakeholder dialogues on a regular basis at all levels of the Company and in all regions in which we operate. In assuring security for our employees operating in conflict zones, we require that our retained security firms operate consistent with the Voluntary Principles on Security and Human Rights. GE policies or programs which bear upon mitigating human rights risks that could arise in communities in which we operate include:

- Integrity (The Spirit & The Letter) – Includes Supplier Relationships, Fair Employment Practices, Environmental , Health and Safety, Privacy: <http://www.gesustainability.com/how-ge-works/integrity-compliance/the-spirit-the-letter/>
- Sustainability: <http://www.gesustainability.com/>
- Statement of Principles on Human Rights:<http://www.gesustainability.com/enabling-progress/human-rights/>
- Ethical Supply Chain: <http://www.gesustainability.com/building-things-that-matter/supply-chain/>

6. Stuart Dean, Chief Executive Officer, GE ASEAN Region

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7. GE is a diversified company with businesses in many sectors or industries: aircraft engines, appliances, lighting, transportation, power and water, oil and gas, financial services, medical equipment, aircraft leasing, etc. We have policies and procedures in place in all of the listed areas except: living wages, land rights, and relocation. We have informed local practices, however, tailored to specific instances where such concerns might arise based on Company operations.