

LMR Transparency Statement on Modern Slavery Act

This statement is made by LMR Partners LLP and LMR Management Services Limited (together, “LMR”) and is published pursuant to the Modern Slavery Act, 2015.

This statement outlines the steps that LMR has committed to take to help detect and prevent modern slavery during the 2017/18 financial year, ending 30 November 2018.

Business Overview and Risk Assessment of Supply Chain

Founded in 2009, LMR Partners is a multi-strategy investment manager with offices in London, Hong Kong and New York. LMR has 100 employees worldwide, and over \$6 billion in assets under management.

As a financial services business, LMR employs highly skilled labour, and has a supply chain predominantly limited to reputable counterparties and service providers. Accordingly, it is believed that the risk of exposure to modern slavery is relatively low. LMR is nonetheless committed to adopting appropriate measures to continuously assess, identify and alleviate the risk of modern slavery occurring within the business and wider supply chains.

Addressing Modern Slavery

Internal Policies

LMR has a number of internal policies relevant to combating modern slavery (not exhaustive):

Whistleblowing Policy – The Whistleblowing Policy actively encourages staff to raise concerns relating to any illegal activity occurring within the business, or malpractice conducted by clients, customers and third parties.

Workplace Environment Policy – The health, safety and wellbeing of employees and visitors working in an environment free of discrimination, harassment and violation is paramount.

Recruitment Policy - All new employees are subject to extensive background screening checks, aiding the detection of any untoward activity.

Due Diligence Procedures

LMR carries out appropriate due diligence on all third party providers prior to engagement. Modern slavery is one of the key criteria to this process, with LMR requesting to see a copy of the supplier’s modern slavery statement where relevant.

In addition, LMR carries out thorough ‘Know Your Customer’ checks, key to identifying human trafficking activities.

Training

All staff are equipped with the knowledge to help identify human trafficking risks through regular anti-money laundering training.

LMR will continue to review internal policies and practices each financial year to further ensure modern slavery is not occurring in the business and supply chain.

This statement is approved by the Governing Body of LMR in May 2019. Signed by:



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Shane Cullinane
Chief Operating Officer
May 2019