

MODERN SLAVERY AND HUMAN TRAFFICKING STATEMENT – NEX GROUP PLC

Introduction

This statement is made on behalf of all companies within NEX Group plc (“NEX Group”) pursuant to the UK Modern Slavery Act of 2015.

NEX Group offers customers better ways to execute trades and manage risk. We provide to our customers electronic trading platforms and trade lifecycle management and information services. In addition, we partner with emerging technology companies. Headquartered in London, NEX Group employs approximately 1,900 people worldwide.

As a financial services and technology company, NEX Group’s supply chains are limited. Generally, we procure computer equipment as well as office supplies, equipment and services for the daily running of our businesses. In addition, from time to time, we use third party vendors and contractors for technology support and development, and for various advisory services. NEX Group does not manufacture or sell any physical goods, and therefore we have no supply chain relating to such activities.

Anti-Slavery Policy and Practices

The NEX Group has zero tolerance for slavery and human trafficking and we are committed to ensuring that there is no modern slavery or human trafficking in our supply chains or in any part of our business. Our anti-slavery policy reflects our commitment to acting ethically and with integrity in all our business relationships and to implementing and enforcing effective systems and controls to ensure slavery and human trafficking is not taking place anywhere in our business or our supply chains. We expect all those in our supply chain and our third party vendors and contractors to share and comply with our values in this regard.

As part of NEX Group’s initiative to identify and mitigate risks of slavery and human trafficking taking place in any of its supply chains or in any part of its own business, the company:

- Publishes this Statement on its website and intranet;
- Has received approval of this Statement by its Board of Directors;
- Has provided this Statement to its Group Chief Operating Officer, Group Chief Administrative Officer, Group General Counsel, and its Group Heads of Risk, Internal Audit, Human Resources, Compliance, and Procurement;
- Maintains systems and policies to encourage the reporting of concerns (including confidentially) and to protect whistleblowers;
- Shall commence training of relevant members of staff with respect to the company’s anti-slavery and human trafficking policies; and
- Shall seek to continue to develop and enhance its practices and procedures in this regard.

In addition, as suppliers are partners in our business success, they are expected to comply with all local laws and regulations applicable to them. We reserve the right to request information concerning their operations and supply chain to enable us to verify their commitment to our corporate values and company policies in this and other areas important to us.

This statement is made pursuant to Section 54 of the UK Modern Slavery Act of 2015 and constitutes NEX Group's slavery and human trafficking statement for the current financial year and for future financial years, provided that as we continue to develop our anti-slavery and human trafficking program, this statement may be modified or amended in the future.

Charles Gregson
Chairman of the Board
NEX Group plc