



LIST OF TOOLS AND INITIATIVES FEATURING BUSINESS AND HUMAN RIGHTS INDICATORS

The project

Measuring Business & Human Rights (MB&HR) is a research project that aims to advance the capacity of business managers and corporate stakeholders to assess the extent to which companies meet their responsibility to respect human rights. In particular, the project will:

- explore the most pressing normative, methodological, practical and political challenges when producing business and human rights indicators, and suggest realistic strategies to create valid measurement tools;
- offer a transparent and comprehensive database of information on existing organizations, tools and initiatives using business and human rights indicators;
- host a blog as a public forum for an open discussion on how to improve the way corporate respect for human rights is measured.

Guidance note on the list of tools and initiatives using business and human rights indicators¹

The tables below list the most relevant tools and initiatives that feature business and human rights indicators. The proliferation of ratings and indices that measure respect for human rights by corporations underlines the relevance of MB&HR's purpose to enhance the transparency of such initiatives and trigger a public debate on how business and human rights indicators should be produced.

The tables are divided into six categories: (1) management tools; (2) reporting frameworks; (3) sustainability investment indices; (4) sustainability ratings; (5) sustainability standards, multi-stakeholder initiatives and certification schemes; and (6) human rights impact assessment tools. The distinction between the categories may not always be clear-cut. Nevertheless, the categorization is helpful to indicate that all corporate stakeholders have shown interest, and have been involved in, the production of business and human rights indicators.

The list will be used as a reference point for the next step of the project, namely, the creation of a "summary card" for each tool and initiative, describing its main features, strengths and weaknesses. The aim is to increase transparency, avoid duplication of efforts, prevent mistakes and collect best practices.

It is important to emphasise that MB&HR will also have due regard to the most prominent measurement tools and initiatives that focus on general sustainability issues but do not include business and human rights indicators. While these tools and initiatives will not be the object of specific analysis (at least in the initial phase of the project), they will be taken into consideration in order to distil best practices.

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MANAGEMENT TOOLS

Name	Producer(s)	Brief description
Bench Marks Principles	ECCR (UK), the Bench Marks Foundation (South Africa), ICCR (USA) and KAIROS (Canada)	Corporations can find the indicators presented in the Bench Marks useful for developing and monitoring corporate codes of conduct, particularly when managing relations with stakeholder bodies. Civil society organizations, stakeholders and investors can find the indicators useful for assessing corporate conduct.
GLOBAL CSR Self Check	GLOBAL CSR	A self-assessment tool to test how socially responsible your company is.
GoodCorporation Framework on Human Rights	GoodCorporation	A set of responsible management practices that organizations can use to measure and improve the robustness of their policies and systems.
Human Rights Compliance Assessment Tool	Danish Institute for Human Rights (DIHR)	A self-assessment tool to be used by company staff to evaluate human rights-related policies, procedures and performance.
Human Rights Matrix	The Business Leaders Initiative on Human Rights	A self-assessment tool designed to support a company in understanding human rights in relation to its policies, procedures and initiatives.
Indicadores Ethos para Negócios Sustentáveis e Responsáveis	Ethos Institute of Business and Social Responsibility	A voluntary tool designed for companies to support the process of incorporation of sustainability in their management.
Organisational Capacity Assessment Instruments	Global Compact Network Germany with twentyfifty Ltd	A self-assessment questionnaire with 22 questions centred on the major elements of the corporate responsibility to respect human rights as outlined in the UN Guiding Principles
UN Global Compact Self Assessment Tool	DIHR, Confederation of Danish Industries, Ministry of Economic & Business Affairs, Danish Investment Fund for Developing Countries	A self-assessment tool to test the company's performance on all ten UN Global Compact principles.

REPORTING FRAMEWORKS

Name	Producer(s)	Brief description
Basic Guide: Communication on Progress	UN Global Compact	A practical tool to assist companies with reporting on how they are implementing the ten principles of the UN Global Compact.
Children's rights in sustainability reporting: A guide for integrating	UNICEF	A document that helps companies with reporting and communicating how they are respecting and supporting children's rights, through their policies, processes and

children's rights into the GRI reporting framework (2 ed.)		operations in the workplace, marketplace and community.
Expectations for Companies' Conflict Minerals Reporting	Enough Project and Responsible Sourcing Network	Guidelines for corporations that submit conflict minerals disclosures to the Securities and Exchange Commission.
G4 Sustainability Reporting Guidelines	Global Reporting Initiative	Guidelines to enable all organizations to report the sustainability information that matters.
Key Performance Indicators for ESG 3.0	EFFAS and DVFA Society of Investment Professionals	A reporting framework for ecological, social and corporate governance aspects built on requirements of investment.
Oil and gas industry guidance on voluntary sustainability reporting	IPIECA, API and OGP	The Guidance aims to assist oil and gas companies in developing and enhancing the quality and consistency of their sustainability reports.
Reporting and Assurance Framework Initiative	Mazars and Shift	A project to develop public reporting and assurance frameworks based on the UN Guiding Principles on Business and Human Rights.
SASB Sector Standards	Sustainability Accounting Standards Board	A practical tool that provides companies with standardized accounting metrics to account for performance on industry-level sustainability topics.

SUSTAINABLE INVESTMENT INDICES

Name	Producer(s)	Brief description
CEERIUS (CEE Responsible Investment Universe)	Vienna Stock Exchange, Reinhard Friesenbichler Unternehmensberatung	Index ranking companies listed on stock exchanges in Central, Eastern and South-Eastern Europe (notably the stock exchanges of Belgrade, Bucharest, Budapest, Ljubljana, Prague, Sofia, Warsaw and Zagreb), filtered by financial aspects such as market capitalization and liquidity, ranked based on ESG criteria.
Corporate Sustainability Index ISE (Indice de Sustentabilidade Empresarial)	BM&F Bovespa (Sao Paulo Stock Exchange)	Index of companies listed on main BM&F Bovespa exchange, ranked according to sustainability criteria.
Dow Jones Sustainability Indexes	S&P Dow Jones Indices, RobecoSAM and RepRisk	A family of indexes tracking the financial performance of the largest companies that lead their industries in terms of corporate sustainability.
Ethibel Sustainability Indices	Forum Ethibel and Vigeo	A family of indices that collect top performers in terms of corporate social responsibility from Europe, North America and Asia Pacific.
Euronext Vigeo Indices	NYSE Euronext and Vigeo	A family of indices composed of the highest-ranking listed companies as evaluated in terms of their performance in corporate responsibility.
FTSE4Good Index Series	FTSE and EIRIS (until September	A family of indices which include only those companies that meet certain

	2013)	sustainability criteria.
FTSE4Good Australia 30 Index	FTSE and EIRIS	A stock index composed of the top 30 Australian companies (in terms of full market capitalization) of the FTSE4Good Index Series.
FTSE4Good IBEX Index	FTSE, BME, EIRIS and ECODES	A stock index of companies listed on the IBEX 35 and FTSE Spain All-Cap Index that meet (all) specific sustainability criteria.
Gaïa Index	EthiFinance and IDMidCaps	The first SRI Index for French Small & Mid Caps. It is composed of the 70 best companies selected on ESG criteria within a universe of 230 French emerging companies.
Global Compact 100	Global Compact and Sustainalytics	A stock index composed of a representative group of Global Compact companies selected based on their adherence to the Global Compact's ten principles (including human rights and labour standards), and evidence of executive leadership commitment and consistent base-line profitability.
Hang Seng Corporate Sustainability Index Series	Hang Seng Indexes and Hong Kong Quality Assurance Agency	HSSUS and HSSUSB include Hong Kong-listed companies that perform well with respect to corporate sustainability, while HSCASUS and HSCASUSB include Mainland listed companies that perform well with respect to corporate sustainability. The HSMHSUS is a cross-market index that covers corporate sustainability leaders in Hong Kong and on the Mainland.
IPC Sustentable	BMV (Bolsa Mexicana de Valores)	A stock index ranking companies listed on the BMV, filtered also by financial aspects such as market capitalization and liquidity, ranked based on ESG criteria.
Istanbul Stock Exchange Sustainability Index (ISESI)	Istanbul Stock Exchange	To be launched Q1 2014. A stock index of (top) companies listed on the Istanbul Stock Exchange ranked according to sustainability criteria.
JSE Socially Responsible Investment Index	Johannesburg Stock Exchange; EIRIS,	A stock index of FTSE/JSE All Shares index which pass certain ESG criteria.
KEHATI SRI Index	KEHATI and BEI (Indonesia Stock Exchange)	A stock index ranking 25 companies listed on the Indonesia Stock Exchange, filtered also by financial aspects such as market capitalization and liquidity, ranked based on sustainability criteria.
MSCI Global Sustainability Indices, MSCI SRI Indices	MSCI	A family of indices composed of the best-in-class companies as evaluated by certain CSR benchmarks (including a Human Rights Custom Index).
NASDAQ OMX CRD Global Sustainability Index	NASDAQ OMX and CRD	The NASDAQ OMX CRD Global Sustainability Index is an equally weighted equity index that serves as a benchmark for stocks of companies that are taking a leadership role in sustainability performance reporting and are traded on a major US stock exchange.
OMX GES Sustainability Indexes	NASDAQ OMX and GES	The indexes comprise the leading companies in terms of sustainability and are

	Investment Services	selected based on how well they meet the criteria for environmental, social and governance issues. The indexes focus on Nordic European countries.
S&P EGX/ESG Index	Egyptian Institute of Directors, S&P and Crisil	A stock index ranking 30 companies listed on the Egypt Stock Exchange, filtered by market capitalization and liquidity, ranked based on sustainability criteria.
S&P Hawkamah ESG Pan Arab Index	Hawkamah and S&P	A stock index for 50 of the best performing companies in the Middle-East/North Africa based on ESG criteria.
STOXX Global ESG Leaders Indices	STOXX Limited and Sustainalytics	A family of indices composed of companies of the STOXX Global 1800 Index, ranked according to a fully-transparent series of ESG indicators.
TA-Maala SRI Index	Tel Aviv Stock Exchange, Maala Business for Social Responsibility	A stock index for companies of a certain size listed on the Tel Aviv Stock exchange, ranked according to certain ESG criteria.
Thomson Reuters Corporate Social Responsibility Indices	Thomson Reuters, S-Network Global Indexes	A family of indices composed of best-in-class companies as evaluated by certain CSR benchmarks.
Vönix (Austrian Sustainability Index)	Vinis GmbH, Vienna Stock Exchange, Reinhard Friesenbichler Unternehmensberaten	A stock index ranking companies listed on the Vienna Stock Exchange, filtered by financial aspects such as market capitalization and liquidity, ranked based on ESG criteria.

SUSTAINABILITY RATINGS

Name	Producer(s)	Brief description
100 Best Corporate Citizens List	Corporate Responsibility Magazine (data provided by IW Financial)	A corporate responsibility ranking entirely based on publicly-available information.
Access to Medicine Index	Access to Medicine Foundation (data provided by MSCI; since 2013, by Sustainalytics)	An independent initiative that ranks the world's 20 largest pharmaceutical companies according to their efforts to make their products more available, affordable and accessible in developing countries.
Access to Nutrition Index	Global Alliance for Improved Nutrition (data provided by MSCI ESG Research)	An independent initiative that ranks 25 of the world's largest food and beverage manufacturers on their policies, practices, and performance related to obesity and under-nutrition.
Behind the Brands	Oxfam International	A scorecard that assesses the agricultural sourcing policies of the world's ten largest food and beverage companies.
Company Rankings on Conflict Minerals	Enough Project	A rating of electronics companies based on their actions to trace, audit, and certify their minerals supply chains, as well as wider actions that would help to break the link between the minerals trade and conflict in Congo.

Corporate Equality Index	Human Rights Campaign Foundation	The U.S. national benchmarking tool on corporate policies and practices pertinent to lesbian, gay, bisexual and transgender employees.
Cotton Sourcing Snapshot	Responsible Sourcing Network	The report includes ratings of 49 companies reflecting actions they are taking to stop cotton from Uzbekistan picked with forced labor from entering their supply chains.
Draft Business and Human Rights Index	RightsBusiness	An index that provides a shorthand measure of business engagement with commonly identified and important private sector human rights practices and responsibilities.
Ethical Ratings	Ethical Consumer	A numerical ethical rating designed to help users quickly differentiate companies which have attracted significant levels of criticism from those which have attracted less attention.
Good Company Index	Laurie Bassi, Ed Fraenheim, Dan McMurrer, Larry Costello	A ranking of the Fortune 100 companies on the basis of data from multiple sources about corporate actions with respect to their employers, customers, community, and environment.
GoodGuide	The GoodGuide team (founded by Dara O'Rourke)	A website and smartphone app to search or browse over 145,000 food, toys, personal care and household products and learn about the best and worst products in a category.
Good Index Pharma	Vertigo Ventures	An index comparing the top 10 pharmaceutical companies according to their social, environmental and financial performance.
Key Performance Indicators for Investors to Assess Labor & Human Rights Risks Faced by Global Corporations in Supply Chains	The Fair Labor Association and The Pensions and Capital Stewardship Project at Harvard Law School	An initiative to develop key performance indicators for investors to assess labour and human rights risks faced by global corporations in their supply chains.
Ranking Digital Rights	Rebecca MacKinnon (project lead)	A ranking of the world's major information and communications technology companies on their policies and practices related to free expression and privacy.
Responsible Supply Chain Benchmark	VBDO (the Dutch Association of Investors for Sustainable Development)	A qualitative and partly quantitative, comparative investigation among 40 Dutch publicly listed companies, aiming to inform stakeholders on responsible supply chain management.
Transparency in Myanmar Enterprises (TiME) / Pwint Thit Sa project	Myanmar Centre for Responsible Business (MCRB)	Project to encourage increased transparency by Myanmar businesses in the areas of anti-corruption, organizational transparency, and human rights, health, safety and the environment. The first report looks at the transparency of over 50 major Myanmar companies' websites relating to information on responsible business practices.

Who Has Your Back? Rating	Electronic Frontier Foundation	An examination of the policies of major Internet companies – including ISPs, email providers, cloud storage providers, location-based services, blogging platforms, and social networking sites – to assess whether they publicly commit to standing with users when the government seeks access to user data.
Wikirate Project	Wikirate	A community-driven initiative that provides a <i>wiki</i> platform for corporate transparency. The database information is created by and for anyone who interacts with companies - consumers, employees, investors, management, regulators, competitors.
Working on the Rights Shoes Scorecard	Stop Child Labour	An assessment of 28 Dutch and international footwear companies on the basis of their policies and practices to combat child labour and labour rights abuses in their full supply chain.
World's Most Ethical Corporations	Ethisphere	A designation that recognizes companies that truly go beyond making statements about doing business “ethically” and translate those words into action. WME honorees not only promote ethical business standards and practices internally, they exceed legal compliance minimums and shape future industry standards by introducing best practices today.

SUSTAINABILITY STANDARDS, MULTI-STAKEHOLDER INITIATIVES AND CERTIFICATION SCHEMES

Name	Producer(s)	Brief description
4C Code of Conduct	4CAssociation	Baseline standard for sustainability in the coffee sector. The Code comprises 28 social, environmental and economic principles for the sustainable production, processing and trading of green coffee.
Audit Protocol to Assess Compliance with Key Performance Indicators	A group of corporate participants in the Voluntary Principles on Security and Human Rights initiative	A methodology for the determination of the level of implementation of, and compliance with, the tenets of the Voluntary Principles.
Better Cotton Assurance Program	Better Cotton Initiative	Assurance process for large cotton farms to be licensed as growing “Better Cotton”. Farmers must reach a set of “Minimum Requirements” to ensure that Better Cotton meets defined standards for pesticide use, water management, decent work, record keeping, and training.
Brand Performance Check	Fair Wear Association	FWF’s publication of the outcomes of the brand performance checks: after one year of membership, FWF annually visits its affiliates to verify internal management systems to support good workplace conditions.

Bonsucro Audit Guidance for the Production Standards	Better Sugar Cane Initiative (Bonsucro)	Guidance document for members and auditors on how to become compliant with the “Bonsucro Production Standard” (criteria for sustainable production of sugarcane in respect of economic, social and environmental dimensions) and the “Chain of Custody Standard” (requirements for enabling the tracking of claims on the sustainable production of sugarcane along the supply chain).
BSCI Monitoring System	Business Social Compliance Initiative	Uniform social standards monitoring approach at the European level to improve social performance in supplier countries.
Code of Conduct for the Protection of Children from Sexual Exploitation in Travel and Tourism	The Code	An industry-driven initiative supported by other stakeholders that has been created as a practical Corporate Social Responsibility instrument for the tourism and travel industry in taking concrete action against the sexual exploitation of children
EO100™ Standard	Equitable Origin	The first stakeholder-based, comprehensive social and environmental certification, certificate trading and eco-label system for oil and gas exploration and production.
ETI Management Benchmarks	Ethical Trading Initiative	Benchmarks that provide the means by which ETI sets out its expectations of members and measures members’ progress in applying the ETI “Base Code and Principles of Implementation”.
Fair Tax Mark	Ethical Consumer Research Association	The Fair Tax Mark is a label for companies that are open and transparent about their tax affairs and seek to pay the right amount of corporation tax at the right time in the right place.
Fairtrade Standards	Fairtrade International	List of requirements for farmers and traders to farm and trade responsibly as well as to support disadvantaged small-scale farmers and plantation workers. Fairtrade standards cover three areas: social development, economic development and environmental development.
FLA Workplace Code of Conduct and Compliance Benchmarks	Fair Labour Association	Benchmarks that identify specific requirements for meeting each standard of the Workplace Code and allow the FLA to monitor companies’ compliance with the code.
Global Sustainable Tourism Criteria for Destinations	Global Sustainable Tourism Council	Criteria that reflect certification standards, indicators, and best practices from different cultural and geo-political contexts at the global level in the tourism sector.
Global Sustainable Tourism Criteria for Hotels and Tour Operators	Global Sustainable Tourism Council	Criteria to measure sustainable tourism. They are organized around four main themes: effective sustainability planning; maximizing social and economic benefits for the local community; enhancing cultural heritage and reducing negative impacts to the environment.
GoodWeave Expanded Standards	GoodWeave	The expanded standard is in the process of being phased in. The first “Generic

Core Requirements		Standards” require producers and exporters to ensure no child labour is used in the production of rugs and to allow unannounced random inspections. The “Expanded Standards” include environmental, labour and transparency criteria to raise the standard of living in weaving communities and alleviate poverty.
GSCP Reference Tools	Global Social Compliance Programme	Reference tools that describe best existing practice, international standards and provide a common interpretation of fair labour and environmental requirements. It aims to enable buying companies, in any industry, to recognise each others’ systems using the reference tools as a benchmark, promoting convergence of approaches and reduction of duplication in auditing.
Principles and Criteria for the Production of Sustainable Palm Oil	Roundtable on Sustainable Palm Oil	Criteria for sustainable palm oil production. The document defines indicators for each criterion to indicate good practices.
Production Facility Self-Assessment Package	Worldwide Responsible Accredited Production (WRAP)	Questionnaire for apparel, footwear and sewn companies to complete a self-assessment of their facility showing that they have been utilizing socially-compliant practices for a minimum of 90 days.
RJC Assessment Workbook	Responsible Jewellery Council	A document containing instructions for members and auditors on how to complete self-assessments and certification.
SA8000	Social Accountability International	One of the first auditable social certification standards for decent workplaces, across all industrial sectors. Companies seeking to comply with SA8000 have policies and procedures that protect basic human rights of workers.
Social Fingerprint®	Social Accountability International	A program of ratings, training, and toolkits designed to help companies understand and measure their social impact now, and then learn how to improve it.
Sustainability Consortium Assurance Initiative	Sustainability Consortium	Initiative concerned with the development and reporting of standards to identify environmental and social impacts of products produced and sold globally.
Voluntary Principles on Security & Human Rights: Performance Indicators	International Alerts	Guidelines to implement the Voluntary Principles. They establish indicators on: human rights risk and impact assessment; legal, contractual and training measures; monitoring and oversight; equipment transfers to security forces; and documentation of human rights incidents on company property and actions taken in response.

HUMAN RIGHTS IMPACT ASSESSMENT TOOLS

Name	Producer(s)	Brief description
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Business Guide for Conflict Impact Assessment and Risk Management	UN Global Compact	A guide for companies to develop strategies that minimize the negative effects and maximize the positive effects of investing in areas of conflict or potential conflict.
Children's Rights in Impact Assessments: A guide for integrating children's rights into impact assessments and taking action for children	UNICEF and Danish Institute for Human Rights	A guide for companies to assess their policies and processes as they relate to their responsibility to respect children's rights and their commitment to support children's rights.
Getting it Right: A step by step guide to assess the impact of foreign investments on human rights	Rights & Democracy	A step-by-step process for those wishing to take stock of the positive and negative human rights impacts of an investment project in their community, including a 75-page compilation of questions derived from international human rights law.
Human Rights Assessment of Goldcorp's Marlin Mine in Honduras	On Common Ground	An assessment of the human rights situation around, and related to, the presence and operations of the Marlin Mine, in San Miguel Ixtahuacán and Sipacapa Municipalities, Guatemala.
Human Rights Impact Assessment Toolkit	NomoGaia	A four-phase process of human rights impact assessment, starting with data gathering and scoping, and culminating with a set of rights scores contrasting baseline human rights conditions and impacts.